#### clerks@guamlegislature.org

From: committeeonrules@guamlegislature.org
Sent: Tuesday, October 3, 2023 4:16 PM
To: 'Guam Legislature Clerks'
Cc: 'Speaker Therese M. Terlaje'

Subject: Messages & Communications Doc. No. 37GL-23-1026 through 1028.

Attachments: 100323 COMM Doc. No. 37GL-23-1026.pdf; 100323 COMM Doc. No. 37GL-23-1027.pdf; 100323

COMM Doc. No. 37GL-23-1028.pdf

Håfa Adai Clerk's Office,

Please see attached, Messages & Communications Doc. No. 37GL-23-1026 through 1028 for processing:

37GL-23-1026	Guam Ethics Commission	Reporting Requirements for Boards and Commissions - September 2023; GEthC Meeting Packet for its September 29, 2023 meeting* Boards and Commissions Regular Meeting Packet for September 29, 2023*	
37GL-23-1027	Guam Ethics Commission	Small Purchases Fourth Quarter FY2023* Small Purchases Fourth Quarter FY 2023 (July 2023 - September 2023)*	
5/(-1-/3-10/X   Callam Hinies Commission		Guam Ethics Commission - FY2023 4th Quarterly Attendance Report* FY2023 4th Quarterly Attendance Report (July 2023 - September 2023)*	

Kindly reply to this email.



si Yu'os ma'åse',

Beatrice Cruz Committee on Rules Assistant

#### **COMMITTEE ON RULES**

Senator Chris Barnett, Chairperson

I Mina'trentai Siette Na Liheslaturan Guåhan

37<sup>th</sup> Guam Legislature

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#### Messages and Communications for 37GL-23-1026

Speaker Therese M. Terlaje <speaker@guamlegislature.org>
To: 37th Committee On Rules <committeeonrules@guamlegislature.org>, Legislative Secretary Amanda Shelton <officeofsenatorshelton@guamlegislature.org>

Tue, Oct 3, 2023 at 3:49 PM

Please see attached M&C Doc. No. 37GL-23-1026\*

37GL-23-1026 Guam Ethics Commission

Reporting Requirements for Boards and Commissions - September 2023; GEthC Meeting Packet for its September 29, 2023 meeting\*

Si Yu'os Ma'âse',

Marie Cruz
Community Relations Liaison



#### Office of Speaker Therese M. Terlaje

Committee on Health, Land, Justice, and Culture I Mina'trentai Siette na Liheslaturan Guåhan 37th Guam Legislature Guam Congress Building, 163 Chalan Santo Papa, Hagåtña, Guam 96910 © (671) 472-3586

⊠ senatorterlajeguam@gmail.com

www.senatorterlaje.com

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To: Speaker Therese M. Terlaje <speake Cc: Ethics Info <Info@ethics.guam.gov> amlegislature.org>, Jean S. Taitano <jean.taitano@guam.gov>

Håfa Adai,

On behalf of the Guam Ethics Commission, I respectfully transmit the board packet for the Commission's September meeting. If our office can provide anything further, please feel free to contact me. Thank you.

Best regards, REUBEN C. BUGARIN

Ethics Investigation and Compliance Officer II

Guam Ethics Commission

Kumisión i Ginihan Areklamenton Guåhan 134 W. Soledad Avenue, Suite 406 – BOH Bldg., Hagåtña Guam 96910

Tel: (671) 969-5625

Fax: (671) 969-5626

Website: http://guamethics.com

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#### 2 attachments

Guam Ethics Commission Reporting Requirements for September 2023.pdf 3163K



37GL-23-1026.pdf

committeeonrules@guamlegislature.org < committeeonrules@guamlegislature.org > To: "Speaker Therese M. Terlaje" < speaker@guamlegislature.org >

Tue, Oct 3, 2023 at 4:01 PM

Håfa Adai!

Received and thank you



si Yu'os ma'åse'

Beatrice Cruz

Committee on Rules Assistant

#### **COMMITTEE ON RULES**

Senator Chris Barnett, Chairperson

I Mina'trentai Siette Na Liheslaturan Guåhan

37<sup>th</sup> Guam Legislature

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From: Speaker Therese M. Terlaje <speaker@guamlegislature.org>

Sent: Tuesday, October 3, 2023 3:49 PM
To: 37th Committee On Rules <a href="mailto:squamlegislature.org">committee On Rules <a href="mailto:squamlegislature.org">com

Håfa Adai,

Please see attached M&C Doc. No. 37GL-23-1026\*

37GL-23-1026	Guam Ethics Commission	Reporting Requirements for Boards and Commissions - September 2023; GEthC Meeting Packet for its September 29, 2023 meeting*
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Si Yu'os Ma'ase'.

#### Marie Cruz

Community Relations Liaison



#### Office of Speaker Therese M. Terlaje

Committee on Health, Land, Justice, and Culture I Mina'trentai Siette na Liheslaturan Guåhan 37th Guam Legislature Guam Congress Building, 163 Chalan Santo Papa, Hagåtña, Guam 96910 © (671) 472-3586

⊠ senatorterlajeguam@gmail.com

www.senatorterlaje.com

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[Quoted text hidden





## Guam Ethics Commission Reporting Requirements for September 2023

Reuben C. Bugarin < Reuben. Bugarin@ethics.guam.gov>

Tue, Oct 3, 2023 at 11:18 AM

To: "Speaker Therese M. Terlaje" <speaker@guamlegislature.org>, "Jean S. Taitano" <jean.taitano@guam.gov> Cc: Ethics Info <Info@ethics.guam.gov>

Håfa Adai,

On behalf of the Guam Ethics Commission, I respectfully transmit the board packet for the Commission's September meeting. If our office can provide anything further, please feel free to contact me. Thank you.

DOC NO. 37GL-23-1026 OFFICE OF THE SPEAKER Therese M. Terlaje Date: 10/03/2023 Time: 11:18 AM Received: Manage

Best regards,

REUBEN C. BUGARIN

Ethics Investigation and Compliance Officer II

**Guam Ethics Commission** 

Kumisión i Ginihan Areklamenton Guåhan

134 W. Soledad Avenue, Suite 406 – BOH Bldg., Hagåtña Guam 96910

Tel: (671) 969-5625 Fax: (671) 969-5626

Website: http://guamethics.com

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Guam Ethics Commission Reporting Requirements for September 2023.pdf 3163K



### Kumisión i Ginihan Areklamenton Guåhan

Government of Guam 134 W. Soledad Ave., BOH Bldg. Ste. 406 Tel: 671-969-5625 | Telefax: 671-969-5626

Transmitted Via Electronic Mail

October 3, 2023

Honorable Lourdes A. Leon Guerrero Governor of Guam Office of the Governor 513 W. Marine Corps Drive Hagåtña, Guam 96910

Honorable Therese M. Terlaje Speaker, 37th Guam Legislature I'Mina Trentai siette Na Liheslaturan Guåhan Guam Congress Building 163 Chalan Santo Papa Hagåtña, Guam 96910

Re: Reporting Requirements for Boards and Commissions – September 2023

Håfa Adai Governor Leon Guerrero and Speaker Terlaje,

On behalf of the Guam Ethics Commission, respectfully transmitted herewith is the reporting requirements of 5 GCA Chapter 8 § 8113.1 regarding the Guam Ethics Commission's regular meeting held on September 29, 2023.

If I can provide further assistance or clarification, please feel free to contact me through email at jesse.quenga@ethics.guam.gov.

Si Yu'os Ma'ase!

JESSE JOHN QUENGA, CM®

**Executive Director** 

37GL-23-1026
Messages and Communications
RECEIVED
Committee on Rules
October 3, 2023
3:49 p.m.

Beatrice Cruz

Enclosure: Guam Ethics Commission September 29, 2023 Meeting Packet



Kumisión i Ginihån Areklåmenton Guåhån

Government of Guam

134 W. Soledad Ave., BOH Bldg. Ste. 406 Tel: 671-969-5625 \* Telefax: 671-969-5626

# GUAM ETHICS COMMISSION MEETING PACKET

September 29, 2023 12:30 PM



#### **COMMISSIONERS**

Christopher A. Cruz Chairman

Margaret E.R. Tyquiengco Vice-Chairwoman

Marilyn R. Borja

Robert S. Jack, MD

Daphne M. Leon Guerrero

Shannon J. Murphy

#### **COMMISSION STAFF**

Jesse J. Quenga
Executive Director

Pamela D. Mabazza
Ethics Investigation &
Compliance Officer II

Reuben C. Bugarin Ethics Investigation & Compliance Officer II

Arielle L. Navarro

Administrative Assistant

#### **GUAM ETHICS COMMISSION**

Kumisión i Ginihan Areklamenton Guåhan

Regular Meeting Friday, September 29, 2023 12:30 PM

#### **AGENDA**

- I. Call to Order / Roll Call of Members
- II. Approval of Minutes
  - a. July 14, 2023 Regular Meeting
- III. Executive Director's Report
- IV. Old Business none
  - V. New Business
    - a. 2023 Ethics Training Compliance Report
    - b. Commission to Act on the following cases:

ETH-23-102-UT-202 | ETH-23-107-OT-202 | ETH-23-110-OT-201 | ETH-23-112-UT-202 | ETH-23-113-UT-201 | ETH-23-114-UT-201 | ETH-23-115-COI-202 | ETH-23-116-UT-201 | ETH-23-117-UT-201 | ETH-23-118-UT-201 | ETH-23-119-UT-202 | ETH-22-004-UT-201 | ETH-22-005-COI-202 | ETH-22-012-COI-202 | ETH-22-013-COI-202

VI. Closed Proceedings 4 GCA 15 §15401

ETH-23-102-UT-202 | ETH-23-107-OT-202 | ETH-23-110-OT-201 ETH-23-112-UT-202 | ETH-23-113-UT-201 | ETH-23-114-UT-201 ETH-23-115-COI-202 | ETH-23-116-UT-201 | ETH-23-117-UT-201 ETH-23-118-UT-201 | ETH-23-119-UT-202 | ETH-22-004-UT-201 ETH-22-005-COI-202 | ETH-22-012-COI-202 | ETH-22-013-COI-202

- VII. Announcements
- VIII. Adjournment

Guam Ethics Commission Board Meeting—Minutes

**Date:** July 14, 2023

**Time:** 12:38 pm

Location: Zoom/In Person

#### I. Call to Order / Roll Call of Members

- a. Members Present:
  - Chairman Christopher Cruz
  - Vice-Chairperson Meg Tyquiengco
  - Dr. Robert Jack, Commissioner
  - Daphne Leon Guerrero, Commissioner
  - Shannon Murphy, Commissioner
- b. Members Absent:
  - Marilyn Borja, Commissioner
- c. Staff Present:
  - Jesse Quenga, Executive Director
  - Reuben Bugarin, Ethics Program Coordinator
  - · Pamela Mabazza, Ethics Program Coordinator
  - · Arielle Navarro, Administrative Assistant
- d. Others present:
  - Attorney Joseph McDonald, Legal Counsel
  - Attorney Darleen Hiton, Prosecutorial Counsel
  - Inina Amista Taitano Duenas, GYSEP Intern
  - Landen Devera, GYSEP Intern
  - Jordan A. Guzman, GYSEP Intern

#### **II. Approval of Minutes**

April 28, 2023 – Regular Meeting

**MOTION:** M. Tyquiengco motion to approve April 28, 2023 minutes

Seconded by: R. Jack Discussion: None

**Decision:** Motion Carries

- **III. Executive Director's Report**
- IV. Old Business None
- V. New Business
  - a. Review and Approve Annual Summary of Opinion

**MOTION:** S. Murphy motion to adopt Summary of Opinion

Seconded by: M. Tyquiengco

**Discussion:** None

**Decision:** Motion Carries

b. Commission to Act on the following Advisory Opinion: AO-23-002

**MOTION:** D. Leon Guerrero motion to approve Advisory Opinion: AO-23-002

subject to corrections
Seconded by: S. Murphy

**Discussion:** None

**Decision:** Motion Carries

**MOTION:** M. Tyquiengco motion to discuss Closed Proceedings @ 12:53 pm

before proceeding to New Business Item C.

**Seconded by:** R. Jack **Discussion:** None

**Decision:** Motion Carries

ETH-22-004-UT-201 | ETH-22-005-COI-202 | ETH-22-012-COI-202 ETH-22-013-COI-202 | ETH-23-101-OT-201 | ETH-23-102-UT-202 ETH-23-103-OT-202 | ETH-23-104-OT-202 | ETH-23-105-OT-202 ETH-23-106-OT-201 | ETH-23-107-OT-202 | ETH-23-108-OT-201 | ETH-23-109-OT-201 | ETH-23-110-OT-201 | ETH-23-111-OT-202 ETH-23-112-UT-202 | ETH-23-113-UT-201 | ETH-23-114-UT-201

ETH-23-115-COI-202

# C. Commission goes back into Open Session to take action on the following cases:

ETH-22-004-UT-201

MOTION: D. Leon Guerrero motion to table case ETH-22-004-UT-201

Seconded by: M. Tyquiengco

Discussion: None

**Decision:** Motion Carries

ETH-22-005-COI-202

MOTION: M. Tyquiengco motion to table case ETH-22-005-COI-202

**Seconded by:** R. Jack **Discussion:** None

**Decision:** Motion Carries

ETH-22-012-COI-202

MOTION: M. Tyquiengco motion to table case ETH-22-012-COI-202

**Seconded by:** R. Jack **Discussion:** None

**Decision:** Motion Carries

ETH-22-013-COI-202

**MOTION:** S. Murphy motion to table case ETH-22-013-COI-202

Seconded by: M. Tyquiengco

Discussion: None

**Decision:** Motion Carries

ETH-23-101-OT-201

MOTION: M. Tyquiengco motion to dismiss case ETH-23-101-OT-201

Seconded by: D. Leon Guerrero

**Discussion:** None

**Decision:** Motion Carries

ETH-23-103-OT-202

MOTION: D. Leon Guerrero motion to dismiss case ETH-23-103-OT-202

Seconded by: M. Tyquiengco

**Discussion:** None

**Decision:** Motion Carries

ETH-23-104-OT-202

**MOTION:** S. Murphy motion to dismiss case ETH-23-104-OT-202

**Seconded by:** R. Jack **Discussion:** None

**Decision:** Motion Carries

ETH-23-105-OT-202

MOTION: R. Jack motion to dismiss case ETH-23-105-OT-202

Seconded by: S. Murphy

**Discussion:** None

**Decision:** Motion Carries

ETH-23-106-OT-201

MOTION: R. Jack motion to dismiss case ETH-23-206-OT-201

Seconded by: M. Tyquiengco

Discussion: None

**Decision:** Motion Carries

ETH-23-108-OT-201

MOTION: D. Leon Guerrero motion to dismiss case ETH-23-108-OT-201

Seconded by: M. Tyquiengco

Discussion: None

**Decision:** Motion Carries

ETH-23-109-OT-201

MOTION: D. Leon Guerrero motion to dismiss case ETH-23-109-OT-201

Seconded by: S. Murphy

**Discussion:** None

**Decision:** Motion Carries

ETH-23-111-OT-202

MOTION: M. Tyquiengco motion to dismiss case ETH-23-111-OT-202

**Seconded by:** R. Jack **Discussion:** None

**Decision:** Motion Carries

#### VI. Announcements - None

# VII. Adjournment

MOTION: Shannon Murphy motion to adjourn at 2:11 pm

Seconded by: Margaret Tyquiengco

**Discussion:** None

**Decision:** Motion Carries

#### **EXECUTIVE DIRECTOR'S REPORT**

#### **Updates**

- a. Ethics Training Update
  - Following the devastation of Typhoon Mawar, all pre-existing ethics workshops from June to August were canceled. The typhoon further impact network connections island-wide, correlating to a lower than usual online workshops completed. August returned with a large number of participants fulfilling their mandated training through our online platform. Additionally, there is one additional live workshop scheduled and preliminary numbers suggest that we are on track to record an additional 500 employees trained in the month of September. The participation figures for both in-person and online training are shown below.

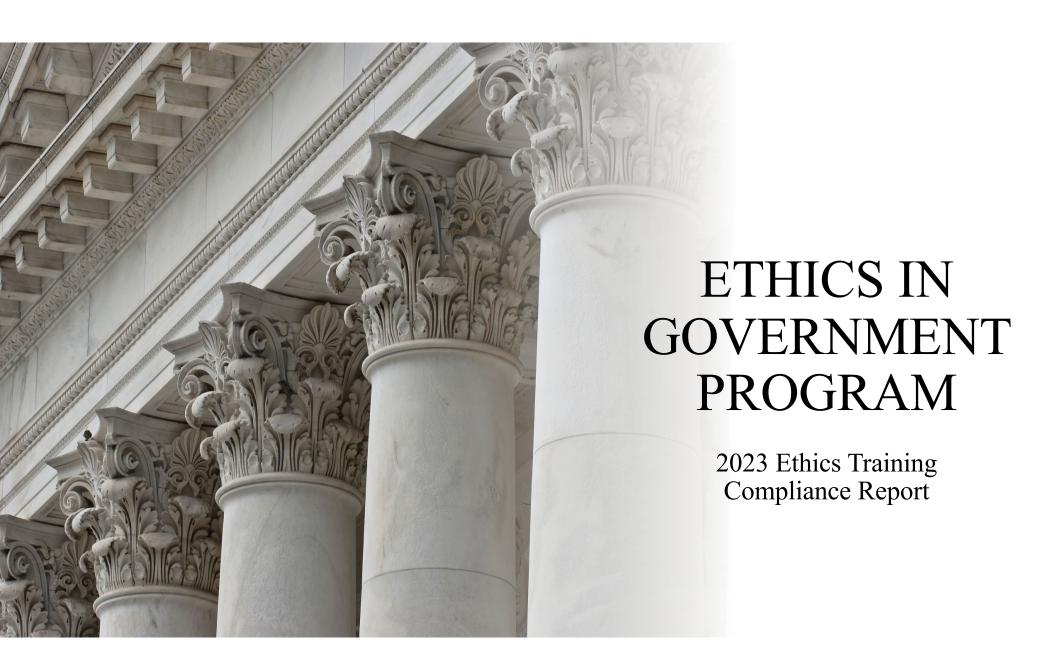
Month	Live Online Workshop Workshop		TOTAL
June	-0-	7	7
July	-0-	50	50
August	-0-	191	191
September 388		165*	553

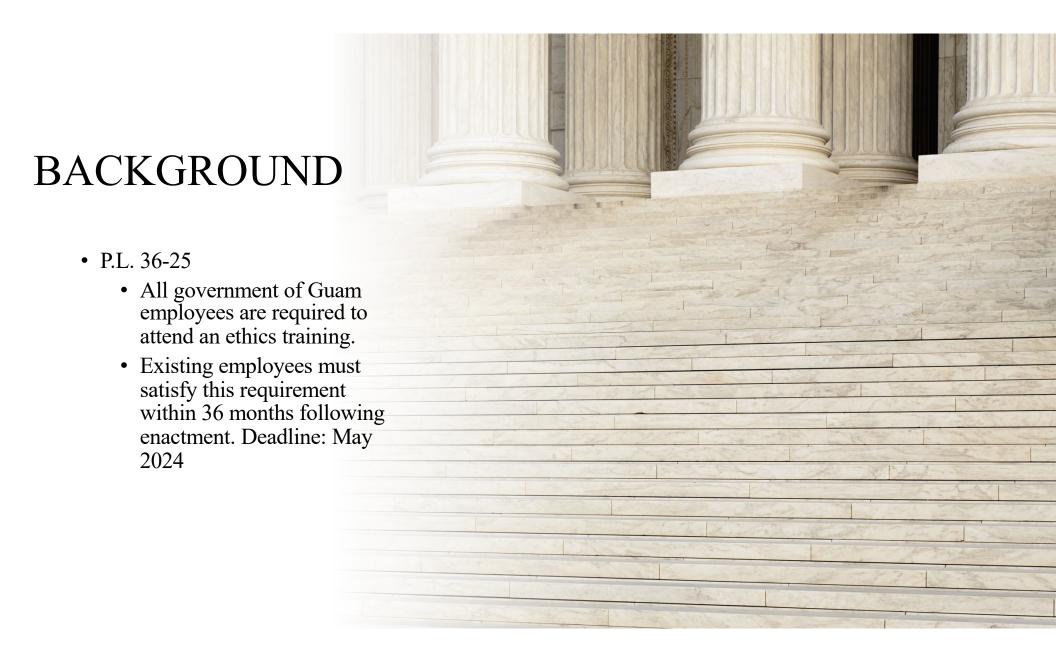
- b. Ethics in Leadership UOG Pilot Program
  - We are in receipt of an emailed request from UOG School of Business and Public Administration Dean Dr. Roseann Jones seeking approval to offer their students enrolled in their PA-405 Leadership Ethics in the Professions course an Ethics in Government Program certificates of completions upon fulfilling their course requirements. The request seeks to waive the current training fee for the enrolled students.
- c. Fiscal Year 2024 Budget Act and Spending Plan
  - Governor Leon Guerrero allowed Bill 26-47 to lapse into law enacting the Fiscal Year 2024 Budget Act. Within it, the Guam Ethics Commission was appropriated \$531,070 for its operations. The proposed spending plan is provided for the Commission's review.

Doc. No. 37GL-23-1026.\*

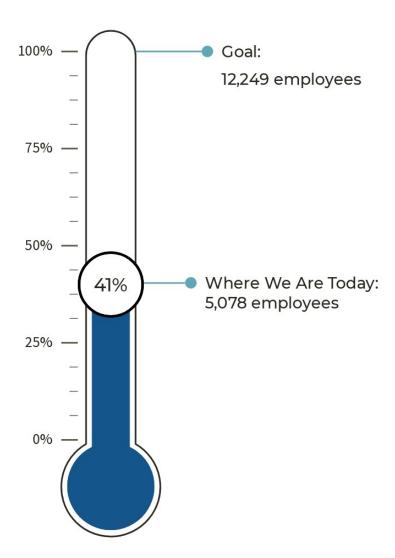
# **FISCAL 2024 BUDGET OVERVIEW**

Account Code	Budget Act Appropriations P.L. 37-42			
111 Salary	254,668			
112 OT	0			
113 Benefits	98,539			
TOTAL PerSvs	353,207			
220 Travel	12,750			
230 Contract	43,024			
233 Rent	65,993			
240 Supplies	16,500			
250 Equip.	0			
271 Drug Testing	80			
280 Sub.Rec.	0			
290 Misc.	35,400			
361 Power	0			
362 Water	0			
363 Tele.	4,116			
450 Cap. Out.	0			
TOTAL Opers	177,863			
TOTALS	531,070			
\$ (43,024.00)	Contractual	\$	(6,711.00)	Copier Lease
		\$	(15,000.00)	Legal Services Contract
		\$	(15,000.00)	
		\$	(5,568.00)	
		\$	(445.00)	
				•
		\$	(300.00)	Video Conference Software
\$ (65,993.00)	Rent	\$	(65,993.00)	Lease Agreement
\$ (16,500.00)	Supplies	\$	(16,500.00)	Supplies: Folders, Certs., etc.
\$ (35,400.00)	Misc.	\$ \$	(10,752.00) (16,800.00)	Meeting Ads Stipends
				•
		\$	(832.00)	Procurement Training
		\$	(2,016.00)	AS400 License
		\$	(5,000.00)	Postage for Ethics Complaints
\$ (4,116.00)	Tele. / Internet	\$	(4,116.00)	Telephone and Internet





# ETHICS TRAINING COMPLIANCE

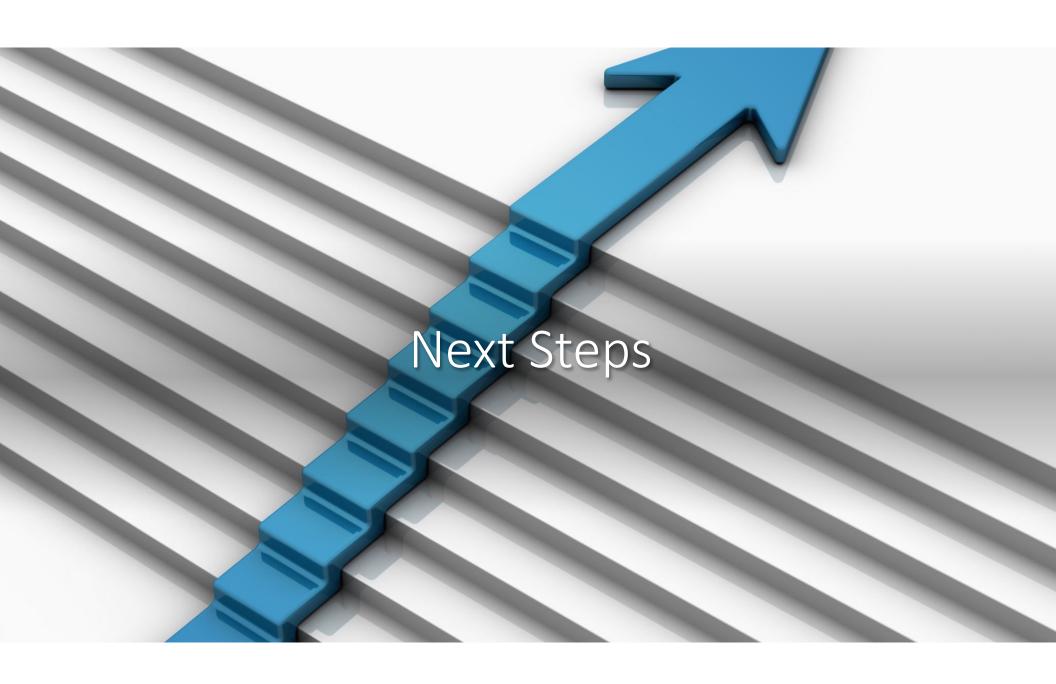


# **FULLY COMPLIANT**

- GUAM COMMUNITY COLLEGE
- A.B. WON PAT INTERNATIONAL AIRPORT AUTHORITY
- GUAM POLICE DEPARTMENT
- PUBLIC DEFENDER SERVICE CORPORATION
- BUREAU OF STATISTICS & PLANS
- DEPARTMENT OF CHAMORU AFFAIRS
- PBS GUAM
- BUREAU OF BUDGET & MANAGEMENT RESEACH
- OFFICE OF THE PUBLIC ACCOUNTABILITY
- GUAM ELECTION COMMISSION
- CIVIL SERVICE COMMISSION
- CHAMORU LAND TRUST COMMISSION
- GUAM COMMISSION FOR EDUCATOR CERTIFICATION
- COUNCIL ON THE ARTS AND HUMANITIES AGENCY (CAHA)
- SERVE GUAM COMMISSION
- GUAM ENERGY OFFICE
- KUMISION I FINO' CHAMORRO/COMMISSION ON CHAMORU LANGUAGE
- OFFICE OF HOMELESSNESS ASSISTANCE AND POVERTY PREVENTION (OHAPP)
- GUAM ANCESTRAL LANDS COMMISSION
- GUAM ETHICS COMMISSION
- COMMISSION ON DECOLONIZATION
- GUAM PEALS (PROFESSIONAL ENGINEERS, ARCHITECTS & LAND SURVEYORS)

# < 10% COMPLIANCE

- GUAM DEPARTMENT OF EDUCATION
- GUAM CUSTOMS & QUARANTINE AGENCY
- DEPARTMENT OF ADMINISTRATION
- DEPARTMENT OF YOUTH AFFAIRS
- GUAM PUBLIC LIBRARY SYSTEM
- GUAM OFFICE OF VETERANS AFFAIRS
- DEPARTMENT OF LABOR
- UNIVERSITY OF GUAM
- DEPARTMENT OF AGRICULTURE





# Kumisión i Ginihan Areklamenton Guåhan Government of Guam

## V. New Business

Commission to Act on the following cases:

ETH-22-004-UT-201| ETH-22-005-COI-202 | ETH-22-012-COI-202 | ETH-22-013-COI-202 | ETH-23-102-UT-202 | ETH-23-119-UT-202 | ETH-23-107-OT-202 | ETH-23-110-OT-201| ETH-23-112-UT-202 | ETH-23-113-UT-201 | ETH-23-114-UT-201| ETH-23-115-COI-202 | ETH-23-116-UT-201 | ETH-23-117-UT-201 | ETH-23-118-UT-201 |

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# Kumisión i Ginihan Areklamenton Guåhan Government of Guam

# Closed Proceedings-4 GCA 15 §15401

ETH-23-102-UT-202 | ETH-23-107-OT-202 | ETH-23-110-OT-201 | ETH-23-112-UT-202 | ETH-23-113-UT-201 | ETH-23-114-UT-201 | ETH-23-115-COI-202 | ETH-23-116-UT-201 | ETH-23-117-UT-201 | ETH-23-118-UT-201 | ETH-23-119-UT-202 | ETH-22-004-UT-201 | ETH-22-005-COI-202 | ETH-22-012-COI-202 | ETH-22-013-COI-202

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